

PTO/SB/21 (08/00)

MODIFIED

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

213200.00072 \* plus reference copies

al Number of Pages in This Submission 1

Application Number	09/920,240
Filing Date	08/01/2001
First Named Inventor	Pierte ROO
Group Art Unit	2682
Examiner Name	Eugene Yun
Attorney Docket Number	MP0039.CIP

Total Number of	or Pages in This Subm	SSION TO AUTOMEY DOCKET NUMBER INF 0039.01F				
		ENCLOSURES (check all that apply)				
PTO-1449 and cited r Certified Copy of Pr Document(s)  Response to Missir Incomplete Applica  Response to	cclaration(s) Request ment Request ure Statement with eferences riority  ng Parts/	Assignment Papers (for an Application)  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Associate Power of Attorney  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  After Allowance Communication to Group (Appeal Communication to Group (Appeal Notice, Briel, Reply Briel)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  CD, Number of CD(s)  Remarks				
	SIGNATU	RE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Individual name  Andrew J. Bateman Registration No.: 45,573  Signature  Date  O7/08/2005						
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:							
Typed or printed name							
Signature _		Date					



## FEE TRANSMITTAL for FY 2005

Effective 10/01/2005. Patent fees are subject to annual revision.

\_\_\_ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Signature:

Complete if Known					
Application Number	09/920,240				
Filing Date	08/01/2001				
First Named Inventor	Pierte ROO				
Examiner Name	Eugene Yun				
Art Unit	2682				
Attornov Dookst No	MP0039 CIP				

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Account Name	KATTEN I	MUCHIN ROSENMA	N, LLP	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
The Director	r is authoria	zed to: (check all th	eat apply)	1053	130	1053	130	Non-English specification	
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	Small Entity	•		1253	1,020	2253	510	Extension for reply within third month	
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1311 200	2311 100	Patent Examination	444.44	1402	500	2402	250	Filing a brief in support of an appeal	<u> </u>
				1403	1,000	2403	500	Request for oral hearing	
		_		1451	1,510	1451	1,510	Petition to institute a public use proceeding	ng ————————————————————————————————————
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Name: An	drew J. B	ateman	Regi	istratio	n Na ·	45	573	Telephone: (202) 625-	3547
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Date: 07/08/2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUL 0 8 2005

In re Application of:

Pierte ROO

Application No.: 09/920,240

Filed: August 1, 2001

For: ACTIVE RESISTIVE SUMMER FOR

A TRANSFORMER HYBRID

Examiner: Eugene YUN

Group Art Unit: 2682

Confirmation No.: 4035

Date: July 8, 2005

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 CFR § 1.56 and in accordance with the practice under 37 CFR §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed PTO-1449 and to the attached copies of any non-U.S. patent documents listed thereon.

In accordance with 37 CFR § 1.97(h), this Information Disclosure Statement is not to be construed as an admission that the information cited is or is considered to be material to patentability as defined in 37 CFR § 1.56(b), nor as an admission that the information constitutes prior art within the meaning of 35 USC §§ 102 and/or 103.

It is respectfully requested that the information listed on the PTO-1449 be considered by the Examiner, and that an initialed copy of the PTO-1449 be returned indicating that such information was considered.

Authorization for payment of the IDS fee of \$180.00 is being filed concurrently herewith.

Should the Examiner have any questions, Applicant's undersigned attorney is reachable by telephone in our Washington, D.C. office at (202) 625-3547. The correspondence address of record is provided below.

By:

Respectfully submitted,

KATTEN MUCHIN ROSENMAN, LLP

Katten Muchin Rosenman, LLP 1025 Thomas Jefferson St., NW East Lobby, Suite 700 Washington, DC 20007-5201

Facsimile No.: (202) 298-7570

07/12/2005 MBEYENE1 00000124 09920240

**IP Docket** 

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180.00 OP

Andrew J. Bateman

Registration No. 45,573

FORM PTO 1449 MODIFI U.S. PATENT AND TRAD				DOCKET NO.	APPLICATION NO.		
		AVI		MP00	39.CIP		20,240
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*EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COU.	NTRY	CLASS	SUBCLASS	TRANSLATION OR ABSTRACT
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	JP 57-48827	3/1982	JAPAN				Abstract
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FORM PTO 1449 MODIFIED U.S. PATENT AND TRADEMARK OFFICE			ATTORNEY DOCKET NO.		APPLICATION NO.		
U.S. PATENT AND TRADEMARK OFFICE			MP0039.CIP		09/920,240		
LIST OF RE	FERENCES CITED B	Y APPLICAN	T			LICANT	
DATE SUBMITTED TO USPTO: July 8, 2005			· <del>-</del>	FILING DA		e ROO	NIB.
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J.S. PATENT D	OCUMENTS			1 00/01/2	,,,,,		
*EXAMINER INITIALS	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE
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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw fine through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

SHEET 1 OF 6



FORM PTO 1449 MODIFIED
U.S. PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT

DATE SUBMITTED TO USPTO: July 8, 2005

U.S. PATENT DOCUMENTS

ATTORNEY DOCKET NO. APPLICATION NO.
MP0039.CIP 09/920,240

APPLICANT
Pierte ROO
FILING DATE GROUP
08/01/2001 2817

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'EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
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* EXAMINER: Initial if rel	ference considered, whether or not citation is in conformance with MPEP 609; Draw fine through citation if not in	conformance and not considered, include copy of this to	orm with next corroraunication to applicant. SHEET $\frac{\mathcal{H}}{2}$ OF			

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*EXAMINER INITIALS	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE
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